

10/509746

DT09 Rec PCT/PTO 130 SEP 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. :

U.S. National Serial No. :

Filed :

PCT International Application No. : PCT/FR03/00986

VERIFICATION OF A TRANSLATION

I, Susan ANTHONY BA, ACIS,

Director of RWS Group Ltd, of Europa House, Marsham Way, Gerrards Cross, Buckinghamshire, England declare:

That the translator responsible for the attached translation is knowledgeable in the French language in which the below identified international application was filed, and that, to the best of RWS Group Ltd knowledge and belief, the English translation of the international application No. PCT/FR03/00986 is a true and complete translation of the above identified international application as filed.

I hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application issued thereon.

Date: July 2, 2004

Signature :



For and on behalf of RWS Group Ltd

Post Office Address :

Europa House, Marsham Way,
Gerrards Cross, Buckinghamshire,
England.

METHOD AND INSTALLATION FOR DECONTAMINATING PREFORM
NECKS

The present invention deals generally with the field of
5 the decontamination (or lowering of the level of
contamination) of thermoplastic (especially PET)
preforms intended for making into containers such as
bottles and the like, by a blow molding or stretch-blow
molding process, and it relates more specifically to
10 improvements to the step of decontaminating the necks
of these preforms.

For the manufacture of decontaminated containers out of
thermoplastics, it is known practice to decontaminate
15 the preforms at the beginning of the container
manufacturing process, rather than to decontaminate the
finished containers, at the end of their manufacturing
process, in order to greatly reduce the amount of
decontaminating product used (this amount being a
20 function of the surface area to be treated).

As an example, document FR-A-2 766 121 discloses a
method and an installation for decontaminating preforms
which involve wetting the bodies of the preforms by
25 dipping them into a bath of a decontaminating product
such as hydrogen peroxide, and then thermally
activating this decontaminating product by heating the
preform (the activation of the decontaminating product
may take place, in particular, in the oven in which the
30 preforms are heated to prepare them for blow molding).

However, effective decontamination of preforms requires
that the whole of each preform be decontaminated, that
is to say not only its body but also its neck, and it
35 is known that preforms are made by molding them with
their neck in the final shape and having the final
dimensions. It is essential that the necks suffer no
subsequent thermal stress which would deform the necks

and could make it impossible to seal the filled containers afterwards.

For this reason, the decontamination method with
5 thermal activation disclosed in the abovementioned
document can only be applied to the bodies of preforms,
and for the same reason, in order to decontaminate the
necks, another, non-thermal process is employed which
conventionally is decontamination by ultraviolet
10 radiation, involving placing the neck of each preform
under ultraviolet radiation for a predetermined period
of time. For this purpose, in an actual application,
ultraviolet lamps are distributed on either side of an
inclined slideway down which the preforms slide by
15 gravity from a feed hopper to a collecting and loading
device in the installation, the duration of exposure of
the necks to the ultraviolet radiation being determined
by the speed of descent of the preforms along the
slideway and the slideway's length.

20 However, the level of decontamination thus achieved is
relatively poor (for example of the order of 1 to 1.5
log, that is a reduction by a factor of 10 to 30),
although it may be sufficient for some applications.

25 For other applications, though, which require a higher
level of decontamination (for example at least 3 log,
that is a reduction by a factor of 1000), the process
of decontamination by ultraviolet radiation is
30 insufficient.

Document EP 0 342 690 relates to an installation for,
in particular, the sterilization, while they are
moving, of containers in the form of pots, in which
35 installation an atomized sterilizing liquid is sprayed
onto the containers. However, in that known
installation, the sprayed atomized liquid is not
channeled and so disperses within the installation and
each container is wetted only by the fraction of jet

which it happens to intercept. If the containers were not in the form of pots with a large opening but containers with a narrow neck, such as bottles, it would not be certain that the wetting would be uniform and adequate on both the outside and inside of the necks. In addition, such an installation requires a large amount of sterilizing liquid, which pushes up costs.

Document US 5 129 212 relates to a container sterilizing installation equipped with a chamber used both as a place of injection of a sterilizing product and as a place of application of ultraviolet radiation. This known installation has the major drawback that, as soon as it is sprayed, the sterilizing liquid comes under the action of the ultraviolet radiation, and so the product that reaches the containers is already partly denatured. For effective sterilization, therefore, a larger amount of liquid must be dispensed, which is costly.

It is therefore an object of the invention to improve the process for decontaminating the necks of preforms in such a way that it is possible to achieve a higher level of decontamination than with ultraviolet radiation treatment only, with the additional requirement that the complexity and, most importantly, the size of the whole container manufacturing installation should not be significantly increased.

For this purpose, in accordance with a first aspect thereof, the invention provides a method for decontaminating the necks of thermoplastic preforms intended for making into containers by a blow molding or stretch-blow molding procedure, characterized in that, as the preforms are fed one after the other into a container manufacturing unit, the preforms pass first through an upstream chamber into which a decontaminating liquid is sprayed

continuously so as to maintain in this chamber a fog atmosphere of said decontaminating product with which the necks of the preforms are brought into contact, and then pass in front of ultraviolet lamps arranged so as to completely irradiate the necks of the preforms wetted by the decontaminating product for at least a minimum predetermined period of time, before reaching a device that loads them into the manufacturing unit.

Preferably, in order to make the method as effective as possible, the fog is kept flowing through so as to facilitate its renewal.

In a practical embodiment, the decontaminating product is hydrogen peroxide H_2O_2 , this product being known for its efficiency and its relatively low cost.

By means of the provisions of the invention, the fact that the necks of preforms pass through a fog of decontaminating product results in a wetting of the necks on the outside, on the rim, and partly inside, and there is no need for the liquid to be sprayed in jet form or for the necks to be immersed in a bath of decontaminating liquid as is provided for treating the bodies. The result is that the necks are wetted just as effectively using a smaller amount of liquid and less complicated hardware.

Moreover, the fog is formed upstream of the rows of ultraviolet lamps, which remain in place along the path followed by the necks of the preforms, so that the structural modifications the installation requires are minimal and more especially are entirely localized to the top end of the preform movement means.

Lastly, under the action of the ultraviolet radiation, the decontaminating liquid deposited on the necks of the preforms is activated and the decontamination

process is accomplished without any deleterious effect on the shape of the necks.

By way of an example, using a 1% hydrogen peroxide solution, the period of exposure of the necks to the ultraviolet radiation must typically be at least 8 seconds, which means that the length and speed of the movement of the preforms (the speed itself being a function of the speed of operation of the whole installation) must be determined accordingly.

A second aspect of the invention, for the implementation of the above method, is an installation for the decontamination while they are moving of the necks of preforms delivered one after the other to a loading device, said preforms being made of thermoplastic and being intended for making into containers by blow molding or stretch-blow molding, said decontamination installation being structurally and functionally connected to a preform feeder installation comprising means for moving the preforms one after the other, said decontamination installation comprising ultraviolet lamps arranged so that the ultraviolet radiation completely irradiates the necks of the moving preforms, characterized in that the decontamination installation also includes, upstream of the ultraviolet lamps, a chamber traversed by said preform movement means of the feeder installation and in which means are provided for spraying a decontaminating product in such a way as to maintain a fog of the decontaminating product inside said chamber.

Advantageously, the spray means comprise at least two spray nozzles arranged one on either side of the preform movement means and above these, with their respective axes aimed roughly in the direction of the necks of the moving preforms.

Furthermore, for efficient operation, according to the invention suction means are connected to the chamber in order to create a flow through the latter such as to prevent local accumulations of product in suspension.

5

Depending on the movement means used inside the chamber, they may require the addition of a preform anti-lift member which still allows the fog of decontaminating product to get at the inside walls of the necks of the preforms: in a preferred embodiment, this member may be a rod mounted above the necks of the preforms and of relatively small transverse dimension relative to the diameter of the necks.

10

The installation in accordance with the invention is thus distinguished by the presence of the fog chamber at the top end of the preform movement means, while further downstream the equipping of the preform movement means with rows of ultraviolet lamps remains unchanged. The result is a very localized addition to the preform feeder installation which requires no modifications either to the upstream part (hopper and one-at-a-time presentation of the preforms), or to the downstream part (ultraviolet radiation treatment and unloading into the installation).

20

25

In a preferred embodiment that corresponds to an arrangement of this type of installation, the preform movement means comprise an inclined slideway down which the preforms slide by gravity one after the other and in that this slideway passes through the chamber.

30

A clearer understanding of the invention will be gained from a reading of the following detailed description of a preferred embodiment given purely by way of non-restrictive example. This description refers to the attached drawings, in which:

35

- figure 1 is a diagrammatic side view of the whole of an installation for decontaminating the necks of

~~preforms, combined with a preform feeder installation,~~
in accordance with the invention;

- figure 2 is a side view of part of the
installation of figure 1; and

5 - figure 3 is a cross section through the fog
chamber included in the part of the installation which
can be seen in figure 2.

Figure 1 shows diagrammatically a preform feeder
10 installation A which represents the input unit to an
installation B which makes thermoplastic containers by
blow molding or stretch-blow molding.

A conventional arrangement of a feeder installation
15 comprises mainly a hopper 1 into which the preforms,
made of a thermoplastic material such as PET, are
poured loose, from the base of which hopper the
preforms are taken one by one by an elevator device 2
which carries them up to a device 3 which presents them
20 in the correct position, one after the other and
vertical, with the neck at the top.

As they come out of the presenting device 3, the
preforms 4 move onto an inclined slideway 5 down which
25 they move by gravity, one after the other. The slideway
5 is conventionally constructed from two parallel rails
spaced apart from each other. The preforms rest, by an
external collar at the base of their neck, in such a
way as to straddle the tops of the two rails, while
30 their body is engaged and guided inside the gap between
the rails (see the larger-scale view, figure 3).

At the bottom end of the slideway 5, the preforms 4,
which are presented one at a time, are grasped
35 individually by a gripper device, usually in the form
of a loading wheel 6. The loading wheel 6 is in
practice the input member to the container
manufacturing installation B and typically delivers the

preforms to the entrance of a preform heating oven (not shown).

5 In the case of the installation more particularly envisaged by the invention, which is a decontamination installation, the loading wheel 6 delivers the preforms to a unit (not shown) for decontamination of the preform bodies. This unit may in particular be combined with the preform heating oven as indicated earlier.

10

For decontamination of the preform necks only - which must not undergo any thermal treatment - rows of ultraviolet lamps 7 are arranged around the slideway 5. These rows of lamps 7 are arranged on either side of the slideway and above the slideway so that the necks of the preforms are completely irradiated (outside, inside and on the rim) by the ultraviolet radiation. To protect the preforms after their treatment, the slideway 5 and the rows of ultraviolet lamps 7 are enclosed in a cowling 8 in the form of a tunnel which connects with the cowling 9 of the installation B, and the whole assembly may advantageously be maintained at an above-atmosphere pressure.

25 Given the fact that decontaminating the necks of preforms by ultraviolet radiation alone produces only a limited level of decontamination (typically 1 to 1.5 log), a more thorough decontamination (typically 3log for example) can only be achieved by subjecting the necks of preforms to the action of a decontaminating product such as hydrogen peroxide. To save having to dip the necks of the preforms in a bath of product or spray jets of liquid onto the preform necks - a process which would require complex, large and expensive installations - the invention envisages the use of a fog of decontaminating product through which the necks of the preforms are conveyed: once wetted, the necks pass under the ultraviolet radiation which activates

30
35

~~the decontaminating product~~ and produces the required level of decontamination of the necks.

For this purpose, the following means may be added to
5 the installation as described above.

Between the device 3 which correctly presents the preforms to the top entrance of the slideway 5 and the rows of ultraviolet lamps 7, and therefore upstream of
10 said rows of lamps 7, a closed chamber 10 is installed which nonetheless allows for the slideway 5 to pass through it, giving unobstructed passage to the preforms 4. A fog of decontaminating product such as hydrogen peroxide is maintained in the chamber 10 to dampen the
15 outside, the rim and part of the inside of the necks of the preforms 4.

With this arrangement, as the preforms 4 descend the slideway 5, they pass through the fog chamber 10 where
20 their necks are wetted by the decontaminating product, then, downstream of this chamber 10, pass between the rows of ultraviolet lamps 7 where the ultraviolet radiation activates the decontaminating product. The journey of the preform necks beneath the ultraviolet
25 radiation is made long enough to give effective decontamination of the necks of the preforms (e.g. a duration of about 8 seconds with 1% hydrogen peroxide at room temperature).

30 The specific arrangement of the chamber 10 is illustrated in detail and on a larger scale in figure 2, which is a side view similar to figure 1, and to figure 3, which is a cross section taken on the line marked III-III in figure 2. In these figures 2 and 3,
35 the same reference numbers are used as in figure 1 to denote the same members or functional parts. In figure 2, the protective cladding 8 has been removed to make the figure more readable. At the top of the slideway 5 which is curved in the embodiment

illustrated, notice the two rails 5a on which the preforms 4 visible in figure 3 rest via their collars, with their necks 4a on top of the slideway 5 and their bodies 4b engaged in the gap 5b defined between the two rails 5a.

The chamber 10 may be made, for example, of sheet metal and encloses the slideway 5 underneath. Its two end faces are cut open to present two openings 11, one at each end, carefully shaped to allow the preforms to pass through freely. Optionally, removable masks may be provided to close off portions of these openings 11 if the preforms are small (for example the mask 12 provided in the case, illustrated in figure 3, of preforms with short bodies).

The chamber 10 may be made by assembling several removable elements (cover 13a, bottom 13b) attached to a main structure 13c, for ease of maintenance.

The chamber 10 is equipped with means 14 for producing a fine spray of the decontaminating product so that a fog of decontaminating product is maintained inside it. There may preferably be a pair of these means 14, one on either side of the slideway 5 as illustrated in figure 3. Each of these spray means comprises a fine spray nozzle 15 mounted on the end wall of a cylindrical housing 16 engaged through a main opening in the wall of the main structure 13c of the chamber 10, the housing being fixed to the latter by fixing means 17. The nozzle 15 is connected to a source of pressurized decontaminating product by one or more lines 18.

The two spray means 14 are arranged on either side of and above the slideway 5, essentially symmetrically, with the respective axes 19 of the nozzles 15 essentially coplanar and aimed approximately at the necks 4a of the preforms 4.

These arrangements give a structurally simple setup, the component parts or elements of which are easy to strip and/or easy to get at. Internally, the chamber 10
5 has few reliefs and few nooks and crannies (in particular, the bottom wall 13b may be half-cylindrical in shape) so that there is less possibility of the product being deposited or the fog being trapped.

10 To further improve the efficiency of renewal of the fog and rapid and uniform wetting of the necks 4a of the preforms, the chamber 10 may be provided with a suction device 20 (see figure 2) consisting, for example, of an opening in the wall of the main structure 13c of the
15 chamber, to which a line 21 connected for example to a suction unit (not visible) is connected. Simultaneously, one of the walls of the chamber may contain a hole (as illustrated at 22 in figure 3) to assist flow through the chamber.

20 It will also be observed that, in order not to impede the movement of the fog of decontaminating product towards the inside walls of the preform necks, there is provided, above the slideway and above the necks 4a of
25 the preforms, an anti-lift member (to prevent preforms being lifted accidentally out of the slideway 5) in the form of a rod 23 of relatively small cross section compared with the diameters of the necks 4a - and not in the form of a relatively wide flat band, as is the
30 case over the rest of the track (see for example the band 24 upstream of the chamber 10 in figure 2).

Clearly, the length of the chamber 10 and the number and disposition of the spray means 14 may be adapted to
35 suit the general conditions of operation of the overall container manufacturing installation, particularly as a function of the speed of movement of the preforms along the slideway 5.